

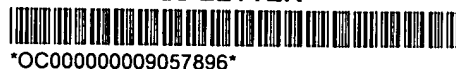


Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,059	09/26/2001	Tony Nick Frudakis	0201-0001

CONFIRMATION NO. 1445

FORMALITIES LETTER



\*OC000000009057896\*

33787  
JOHN J. OSKOREP, ESQ.  
ONE MAGNIFICENT MILE CENTER  
980 N. MICHIGAN AVE.  
SUITE 1400  
CHICAGO, IL 60611

Date Mailed: 11/04/2002

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**TRANSMITTAL FORM FOR SEQUENCE LISTING**  
**IN COMPUTER READABLE FORM (CRF)**  
**ON COMPACT DISC (CD)**

**Patent Application Information:**

Serial No.: 09/964,059

Filing Date: 09/26/2001

Inventor: Frudakis

Title: EFFICIENT METHODS AND APPARATUS FOR HIGH-THROUGHPUT  
PROCESSING OF GENE SEQUENCE DATA

---

---

**Compact Disc Information:**

*Enclosed:* 1 Compact Disc (CD)

*CD name:* "SEQLIST\_CRF"

*Computer:* IBM-PC

*Operating  
System:* MS-WINDOWS

*# of files:* 1

*File Name:* seq\_listing

*File  
Contents:* Sequence Listing for the above-referenced Patent Application in Computer  
Readable Form (CRF)

*File Size:* 57.1 KB

*File Creation  
Date:* 12/23/2002



**TRANSMITTAL FORM FOR SEQUENCE LISTING ON COMPACT DISC (CD)**

**Patent Application Information:**

Serial No.: 09/964,059

Filing Date: 09/26/2001

Inventor: Frudakis

Title: EFFICIENT METHODS AND APPARATUS FOR HIGH-THROUGHPUT  
PROCESSING OF GENE SEQUENCE DATA

**Compact Disc Information:**

Enclosed: 1 Compact Disc (CD)

CD name: "DNAPRINT\_SEQLIST" (CD COPY 2 of 2) (DUPLICATE)

Computer: IBM-PC

Operating  
System: MS-WINDOWS

# of files: 1

File Name: "seq\_listing"

File  
Contents: Duplicate copy of Sequence Listing for the above-referenced Patent Application.  
***This compact disc is identical and is a duplicate of "DNAPRINT\_SEQLIST"  
(CD COPY 1 of 2) filed contemporaneously herewith.***

File Size: 57.1 KB

File Creation  
Date: 12/23/2002

**TRANSMITTAL FORM FOR SEQUENCE LISTING ON COMPACT DISC (CD)****Patent Application Information:**

Serial No.: 09/964,059

Filing Date: 09/26/2001

Inventor: Frudakis

Title: EFFICIENT METHODS AND APPARATUS FOR HIGH-THROUGHPUT  
PROCESSING OF GENE SEQUENCE DATA**Compact Disc Information:***Enclosed: 1 Compact Disc (CD)**CD name: "DNAPRINT\_SEQLIST" (CD COPY 1 of 2)**Computer: IBM-PC**Operating  
System: MS-WINDOWS**# of files: 1**File Name: "seq\_listing"****File******Contents: Sequence Listing for the above-referenced Patent Application.  
This compact disc is identical to the duplicate "DNAPRINT\_SEQLIST" (CD  
COPY 2 of 2) filed contemporaneously herewith.****File Size: 57.1 KB****File Creation****Date: 12/23/2002*